BEFORE THE DIVISION OF MEDICAL QUALITY BOARD OF MEDICAL QUALITY ASSURANCE DEPARTMENT OF CONSUMER AFFAIRS OF THE STATE OF CALIFORNIA In the Matter of the Accusation Against: NO. D-1864 ALAN ROSENBLOOM, M. D. STIPULATION Respondent. The Stipulation set forth is herewith adopted as the order of the Board of Medical Quality Assurance. This order shall become effective April 28, 1977. DIVISION OF MEDICAL QUALITY BOARD OF MEDICAL QUALITY ASSURANCE BIANCHE C. BERSCH Secretary-Treasurer

EVELLE J. YOUNGER, Attorney General DONALD A. GOLDMAN

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Attorneys for Complainant

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BEFORE THE DIVISION OF MEDICAL QUALITY
BOARD OF MEDICAL QUALITY ASSURANCE
DEPARTMENT OF CONSUMER AFFAIRS
STATE OF CALIFORNIA

In the Matter of the Accusation Against:

ALAN ROSENBLOOM, M.D.

Respondent.

NO. D-1864

STIPULATION IN SETTLEMENT

IT IS HEREBY STIPULATED by and between the parties in the above entitled matter, as follows:

- 1. An Accusation No. D-1864, is currently pending against Alan Rosenbloom, M.D. (hereinafter referred to as "respondent"), before the Division of Medical Quality of the Board of Medical Quality Assurance (hereinafter referred to as the "Division").
- 2. Respondent has counseled with and is represented by the Law Offices of Garfield, Tepper & Ashworth.
- 3. Respondent is fully aware of the charges and allegations contained in said accusation on file with the Division and respondent has been fully advised with regard to his rights in this matter.
- 4. Respondent is fully aware of his right to a hearing on the charges and allegations contained in said

accusation, his rights to reconsideration, appeal, and any and all other rights which may be accorded to him pursuant to the Administrative Procedure Act.

- 5. Respondent hereby freely and voluntarily waives his rights to a hearing, reconsideration, appeal and any and all other rights which may be accorded to him by the Administrative Procedure Act with regard to said accusation on file with the Division.
 - 6. The accusation shall be amended as follows:
 - a) At page 7, lines 7-8 the words "and administering" shall be deleted.
 - b) At page 7, lines 10-12, subparagraph A shall be deleted and the following words shall be inserted in its place:
 - "A. The drugs obtained in the prescriptions as set forth hereinabove in paragraph 9 were prescribed by respondent for Charline Rosenbloom."
 - c) At page 7, line 13, the words "and administered" shall be deleted.
 - d) At page 8 lines 29 and following, the following words shall be added after the word "prescription":

"Upon conviction, respondent was sentenced to three years' formal probation (conditioned on his voluntary surrender of his federal Schedule II dispensing privileges), and fined the sum of \$800.00."

7. Respondent admits that the factual allegations contained in paragraphs 1, 2, 8, 9, 11, 13A (as amended), 13B (as amended) and 15 (as amended) of said accusation are true.

8. Based on the foregoing stipulated facts, cause for disciplinary action against respondent has been established under the provisions of sections 2360 and 2361 of the Business and Professions Code in connection with the violation of sections 2361(e), 2361.5, 2384, 2391.5 and 4390 of the Business and Professions Code and sections 11157 and 11173 of the Health and Safety Code.

- 9. Respondent has made a showing that he has been rehabilitated and that under the proper terms and conditions of probation that he should remain in practice.
- 10. The Division of Medical Quality shall issue the following order:

The license No. C-19646 heretofore issued to Alan Rosenbloom, M.D., is revoked. Provided, however, that execution of said revocation shall be stayed, and said license shall be placed on probation for a period of five years on the following terms and conditions:

- A. That Alan Rosenbloom, M.D., comply with all laws of the United States, the State of California, its political subdivision, including all laws and regulations related to the practice of medicine and surgery.
- B. That Alan Rosenbloom shall surrender his federal Schedule II prescribing privileges for three years from the date thereof.
- C. Alan Rosenbloom shall report in person to the Board of Medical Quality Assurance at its regular annual meetings held in Los Angeles, commencing in 1977, and for each year thereafter during said period of probation upon notice from

the Board.

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- D. Alan Rosenbloom shall not practice medicine on Charline Rosenbloom.
- E. Alan Rosenbloom shall place himself under the care of a competent psychiatrist of his own choosing and shall remain under such care until he is discharged as a patient by such psychiatrist.
- F. Alan Rosenbloom shall initiate and submit to the Board of Medical Quality Assurance, at quarterly intervals, an affidavit to the effect that he has fully and faithfully complied with all the terms and conditions of the probation imposed therein.
- G. Alan Rosenbloom shall fully cooperate with any representative of the Board, including, but not limited to the Probation Surveillance Officer.

In the event Alan Rosenbloom does not comply with the aforesaid terms and conditions of the stay granted for the full period thereof, the Board may, after notice to him, and after providing him with an opportunity to be heard, set aside the stay and reimpose the order of revocation or make such other order modifying or changing the stay order as it shall deem proper.

In the event Alan Rosenbloom complies with all such terms and conditions of the stay granted for the full period thereof, the order staying the revocation shall become permanent and his license shall be fully restored.

| 1 | 10. The within Stipulation shall be subject to the |
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| 2 | approval of the Division of Medical Quality. If the Division |
| 3 | fails to approve this Stipulation it shall be of no force |
| 4 | or effect for either party. |
| 5 | EVELLE J. YOUNGER, Attorney General DONALD A. GOLDMAN |
| 6 | Deputy Attorney General |
| 7 | O MAN |
| 8 | DATED: 461, 1977. DONALD A. GOLDMAN |
| 9 | Deputy Attorney General |
| 10 | LAW OFFICES OF GARFIELD, TEPPER & ASHWORTH |
| 11 | A ASHWAIII |
| 12 | MIAH / VIII 1 |
| 13 | DATED: January 28, 1977 SCOTT J. TEPPER |
| 14 | Attorneys for Respondent |
| 15 | |
| 16 | 300 (0 Par 6.0 m |
| 17 | DATED: January 28, 1977 ALAN ROSENBLOOM |
| 18 | I have read and understand each of the terms of the |
| 19 | Stipulation. |
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| 22 | |
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| 24 | |
| 25 | |

31 DAG:ed 03573101-LA76AD1189 1/20/77

EVELLE J. YOUNGER, Attorney General 1 DONALD A. GOLDMAN, Deputy Attorney General 800 Tishman Building 3580 Wilshire Boulevard Los Angeles, California 90010 Telephone: (213) 736-2034 3 Telephone: Attorneys for Complainant 6 BEFORE THE DIVISION OF MEDICAL QUALITY BOARD OF MEDICAL QUALITY ASSURANCE 9 DEPARTMENT OF CONSUMER AFFAIRS 10 STATE OF CALIFORNIA 11 12 In the Matter of the Accusation 13 D-1864 NO. Against: 14 ALAN ROSENBLOOM, M.D. ACCUSATION 15 Respondent. 16 17 COMES NOW complainant Raymond M. Reid, who, as causes, 18 for disciplinary action, alleges that: 19 1. He is the Executive Officer of the Board of Medical 20 Quality Assurance (hereinafter referred to as the "Board"), and 21 makes and files this Accusation in his official capacity as such 22 and not otherwise. 23 2. On or about November 23, 1970, the Board issued to 24 Alan Rosenbloom (respondent herein and hereinafter referred to as 25 "respondent"), Physician's and Surgeons' Certificate No. G-19646. 26 Respondent was at all times mentioned herein, and now is, license 27 to practice medicine in this state. 28 Sections 2360 and 2361 of the Business and 29 Professions Code provide for disciplinary action against the 30 holder of a physician's and surgeon's certificate who is guilty of 31 32 unprofessional conduct.

- 5. Section 11157 of the Health and Safety Code, a statute of the State of California regulating narcotics and dangerous drugs, provides that "No person shall issue a prescription that is false or fictitious in any respect."
- 6. Section 11173 of the Health and Safety Code, a statute of this state regulating narcotics and dangerous drugs provides, in part, that:
 - "(a) No person shall obtain or attempt to obtain controlled substances, or procure or attempt to procure the administration of or prescription for controlled substances, (1) by fraud, deceit, misrepresentation, or subterfuge; or (2) by the concealment of a material fact.
 - "(b) No person shall make a false statement in any prescription, order, report, or record, required by this division.
 - "(c) No person shall, for the purpose of obtaining controlled substances, falsely assume the title of, or represent himself to be, a manufacturer, wholesaler, pharmacist, physician, dentist, veterinarian, or other authorized person."
- 7. Section 4390 of the Business and Professions Code, a statute of this state regulating narcotics and dangerous drugs, provides that:

"Every person who signs the name of another, or of a fictitious person, or falsely makes, alters, forges, utters, publishes, passes, or attempts to pass,

as genuine, any prescription for any drugs . . . is guilty of a forgery and upon conviction thereof shall be punished by imprisonment in the state prison for not less than 1 year nor more than 14 years, or by imprisonment in the county jail for not more than 1 year.

"Every person who has in his possession any drugs secured by such forged prescription shall be punished by imprisonment in the state prison for not less than one year nor more than six years, or by imprisonment in the county jail for not more than one year."

- 8. Demerol is a controlled substance within the meaning of section 11055 of the Health and Safety Code, a dangerous drug within the meaning of section 4211(k) of the Business and Professions Code and a narcotic within the meaning of section 11019 of the Health and Safety Code.
- 9. Respondent is subject to disciplinary action pursuant to sections 2391.5, 2360 and 2361 of the Business and Professions Code in that he is guilty of unprofessional conduct in that he has violated sections 11157 and 11173 of the Health and Safety Code and section 4390 of the Business and Professions Code. The circumstances are as follows:

On or about the following dates respondent wrote prescriptions as set forth hereinafter for one Irving State for the drug Demerol. Said prescriptions were false in that the drugs were not intended for the use of Irving State but rather for the use of respondent's wife Charline Rosenbloom.

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|------|---------------------|-------------|------------|---------------|
| | | | • | |
| 1 | PRESCRIPTION NUMBER | <u>DATE</u> | AMOUNT | STRENGTH |
| 2 | 0443J03 | 1-19-76 | 3 ampules | 100 mg/ampule |
| 3 | 0440J93 | 2-21-76 | 30 cc | 50 mg/cc |
| 4 | | | • . | • |
| 5 | 0440J95 | 2-26-76 | 30 cc | 50 mg/cc |
| 6 | 0440J96 | 2-28-76 | 2 vials | 100 mg/vial |
| 7 | 0440J97 | 3-1-76 | 5 vials | 100 mg/via1 |
| 8 | 0440J98 | 3-2-76 | 10 ampules | 100 mg/ampule |
| 9 | 0440J99 | 3-4-76 | 20 cc | 50 mg/cc |
| 10 | 7886J00 | 2-1-76 | 30 cc | 50 mg/cc |
| 11 | 7886J01 | 3-6-76 | 10 ampules | 100 mg/ampule |
| 12 | 7886J02 | 3-7-76 | 30 cc | 50 mg/cc |
| 13 | 7886J04 | 3-8-76 | 30 cc | 50 mg/cc |
| 14 | 7886J05 | 3-9-76 | 30 cc | 50 mg/cc |
| 15 | 7886J06 | 3-11-76 | 30 cc | 50 mg/cc |
| 16 | 7886J07 | 3-12-76 | 10 cc | 50 mg tubes |
| 17 | 7886J08 | 3-13-76 | 30 cc | 50 mg/cc |
| 18 | 7886J09 | 3-16-76 | 30 cc | 50 mg/cc |
| 19 | 7886J10 | 3-18-76 | 30 cc | 50 mg/cc |
| 20 | 7886J11 | 3-19-76 | 20 cc | 100 mg/cc |
| 21 | 7886J12 | 3-21-76 | 30 cc | 50 mg/cc |
| 22 | 7886J14 | 3-22-76 | 30 cc | 50 mg/cc |
| 23 | 7886J15 | 3-23-76 | 30 cc | 50 mg/cc |
| 24 | 7886J16 | 3-24-76 | 30 cc | 50 mg/cc |
| . 25 | 7886J17 | 3-25-76 | 30 cc | 50 mg/cc |
| 26 | 7886J18 | 3-26-76 | 4 ampules | 100 mg/cc |
| 27 | 78 86J19 | 3-27-76 | 30 cc | 50 mg/cc |
| 28 | 7886J20 | 3-27-76 | 30 cc | 50 mg/cc |
| 29 | 7886J21 | 3-28-76 | 30 cc | 50 mg/cc |
| 30 | 7886J22 | 3-30-76 | 30 cc | 50 mg/cc |
| 31 | 7886J23 | 3-31-76 | 30 cc | 50 mg/cc |
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|-----|---------------------|---------|---------|-------------|
| . | Portago remrov | DAMI | AMOUNTE | COLD ENGAGE |
| 1 | PRESCRIPTION NUMBER | DATE | AMOUNT | STRENGTH |
| 2 | | | | 50 |
| 3 | 7886J24 | 4-1-76 | 30. cc | 50 mg/cc |
| 4 | 7886J26 | 4-2-76 | 30 cc | 50 mg/cc |
| 5 | 7 886J27 | 4-4-76 | 30 cc | 50 mg/cc |
| 6 | 7886J28 | 4-5-76 | 30 cc | 50 mg/cc |
| 7 | 7886J30 | 4-6-76 | 30 cc | 50 mg/cc |
| 8 | 7886J31 | 4-7-76 | 30 cc | 50 mg/cc |
| 9 | 7886J32 | 4-12-76 | 30 cc | 50 mg/cc |
| 10 | 7886J33 | 4-13-76 | . 30 сс | 50 mg/cc |
| 11 | 7886J34 | 4-14-76 | 30 cc | 50 mg/cc |
| 12 | 7886J35 | 4-16-76 | 30 cc | 50 mg/cc |
| 13 | 7 886J36 | 4-18-76 | 30 cc | 50 mg/cc |
| 14 | 7886J37 | 4-19-76 | 30 cc | 50 mg/cc |
| 15 | 7886J38 | 4-20-76 | 30 cc | 50 mg/cc |
| 16 | 7886J39 | 4-21-76 | 30 cc | 50 mg/cc |
| 17 | 7886 J40 | 4-22-76 | 30 cc | 50 mg/cc |
| 18 | 7886J41 | 4-22-76 | 30 cc | 50 mg/cc |
| 19 | 7886J42 | 4-23-76 | 30 cc | 50 mg/cc |
| 20 | 7 886J43 | 4-24-76 | 30 cc | 50 mg/cc |
| 21 | 78 86J44 | 4-24-76 | 30 cc | 50 mg/cc |
| 22 | 7886J47 | 4-25-76 | 30 cc | 50 mg/cc |
| 23 | 7886J48 | 4-27-76 | 30 cc | 50 mg/cc |
| 24 | 7886 J49 | ? | 30 cc | 50 mg/cc |
| 25 | 7 886J50 | 4-29-76 | 30 cc | 50 mg/cc |
| 26 | 7886J51 | 4-30-76 | 30 cc | 50 mg/cc |
| 27. | 7886J52 | 5-1-76 | 30 cc | 50 mg/cc |
| 28 | 7886J53 | 5-2-76 | 30 cc | 50 mg/cc |
| 29 | 7886J54 | 5-3-76 | 30. cc | 50 mg/cc |
| 30 | 7886J55 | 5-4-76 | 30 cc | 50 mg/cc |
| 31 | 7886J57 | 5-6-76 | 30 cc | 50 mg/cc |

| | PRESCRIPTION NUMBER | DATE | AMOUNT | STRENGTH |
|-----|---------------------|------------------|------------|---------------|
| 2 | | | | |
| 3 | 7886J58 | 5-8-76 | 30 cc | 50 mg/cc |
| . 4 | 7886J59 | 5-8-76 | 30 cc | 50 mg/cc |
| 5 | 7886J60 | 5-9-76 | 30 cc | 50 mg/cc |
| - 6 | 7886J61 | 5 - 10-76 | 30 cc | 50 mg/cc |
| 7 | 7 886J62 | 5-10-76 | 30 cc | 50 mg/cc |
| 8 | 7886J63 | 5-11-76 | 30 cc | 50 mg/cc |
| 9 | 7886J81 | 5-19-76 | 30 cc | 50 mg/cc |
| 10 | 7886J82 | 5-20-76 | 30 cc | 50 mg/cc |
| 11 | 7886J83 | 5-21-76 | · 20 cc | 100 mg/cc |
| 12 | 7886J84 | 5-23-76 | 15 ampules | 100 mg/ampule |
| 13 | 7886J85 | 5-24-76 | 20 cc | 100 mg/cc |
| 14 | 7886J86 | 5-26-76 | 30 cc | 50 mg/cc |

10. Section 2361(e) of the Business and Professions
Code provides that the commission of any act involving moral
turpitude, dishonesty, or corruption, whether the act is committed
in the course of the individual's activities as a certificate
holder, or otherwise, or whether the act is a felony or a
misdemeanor constitutes unprofessional conduct.

11. Respondent is subject to disciplinary action pursuant to sections 2361(e), 2360 and 2361 of the Business and Professions Code in that he is guilty of unprofessional conduct in that he has committed acts involving moral turpitude, dishonesty or corruption. The circumstances are as follows:

The allegations set forth hereinabove in paragraph 9 are incorporated herein as if set forth at length.

12. Section 2361.5 of the Business and Professions Code provides in part that clearly excessive prescribing or administering of drugs which is detrimental to the patient as determined by the customary practice and standards of the local community of licensees is unprofessional conduct.

13. Respondent is subject to disciplinary action pursuant to sections 2360 and 2361 of the Business and Professions Code in that he is guilty of unprofessional conduct within the meaning of section 2361.5 of the Business and Professions Code in that he has engaged in clearly excessive prescribing and administering of drugs to Charline Rosenbloom in the following particulars:

A. The drugs obtained in the prescription as set forth hereinabove in paragraph 10 were administered by respondent to Charline Rosenbloom.

B. Respondent prescribed and administered to Charline Rosenbloom the drug Demerol in the following amounts on the following dates:

| 16 | PRESCRIPTION NUMBER | DATE | AMOUNT | STRENGTH |
|----|--------------------------|------------------|----------|-----------|
| 17 | | • | <i>.</i> | |
| 18 | 0 440 J 76 | 11-15-75 | 30 cc | 50 mg/cc |
| 19 | 2 406J62 | 12-5-75 | 30 cc | 50 mg/cc |
| 20 | 2 406J65 | 12-28-7 5 | 30 cc | 50 mg/cc |
| 21 | 2406J68 | 12-31-75 | 30 cc | 50 mg/cc |
| 22 | 1783151 | 1-7-76 | 30 cc | 50 mg/cc |
| 23 | 0440J73 | 1-9-76 | 30 cc | 50 mg/cc |
| 24 | 0440J74 | 1-14-76 | 20 cc | 100 mg/cc |
| 25 | 0 443J02 | 1-16-76 | 30-cc . | 50 mg/cc |
| 26 | 0440J77 | 1-20-76 | 30 cc | 50 mg/cc |
| 27 | 0440J78 | 1-23-76 | 30. cc | 50 mg/cc |
| 28 | 0440J80 | 1-29-76 | 30 cc | 50 mg/cc |
| 29 | 0440J82 | 2-3-76 | 30 cc | 50 mg/cc |
| 30 | 0440J 85 | 2-5-76 | 20 cc | 100 mg/cc |
| 31 | 0440J86 | 2-10-76 | 30 cc | 50 mg/cc |

| | PRESCRIPTION NUMBER | DATE | AMOUNT | STRENGTH |
|---|---------------------|-----------------|---------|-----------|
| | | | • | . ** |
| | 0440J87 | 2-12-76 | 30 cc | 50 mg/cc |
| | 0440J88 | 2-14-76 | 30 cc | 50 mg/cc |
| | 0440J89 | 2-1 5-76 | 30 cc | 50 mg/cc |
| | 0440J90 | 2-17-76 | 30 cc | 50 mg/cc |
| ٠ | 0440J91 | 2-19-76 | 30 cc . | 50° mg/cc |
| : | 0440J92 | 2-20-76 | 30 cc | 50 mg/cc |
| | 0440J94 | 2-24-76 | 30 cc | 50 mg/cc |

 14. Section 2384 of the Business and Professions Code provides in part that the conviction of a charge of violating any statute or rule of this state, regulating narcotics, dangerous drugs or controlled substances, constitutes unprofessional conduct within the meaning of this chapter. The record of the conviction is conclusive evidence of such unprofessional conduct. A plea or verdict of guilty or a conviction following a plea of nolo contendere is deemed to be a conviction within the meaning of this section.

15. Respondent is subject to disciplinary action pursuant to sections 2360 and 2361 of the Business and Professions Code in that he is guilty of unprofessional conduct within the meaning of section 2384 of the Business and Professions Code in that he has been convicted of having violated section 11157 of the Health and Safety Code. The circumstances are as follows:

On or about July 28, 1976, in the Downey Municipal Court, respondent was convicted following his plea of nolo contendere of having violated section 11157 of the Health and Safety Code (writing false prescriptions).

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WHEREFORE, complainant prays that the Board hold a hearing on the matters alleged herein, and following said hearing issue an order:

Suspending or revoking the Physician's and Surgeon's Certificate No. G-19646 heretofore issued to respondent; and

2. Taking such other action as the Board in its discretion deems proper.

DATED:

Executive Officer Board of Medical Quality Assurance

Complainant

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